

Patent

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ORIGINALLY FILED

In re National Phase PCT Application of

Christian TERFLOTH et al.

Serial No.:

09/913,008

Filed:

08 August 2001

For:

Multicomponent Coating and Adhesive

Material

Examiner: Group Art:

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents Washington, D.C. 20231, on

February 13, 2002 (Date of Deposit)

Vincent M. Fazzari

Date of Signature

LETTER CONCERNING RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE U.S. DESIGNATED/ELECTED OFFICE (DO/EO/US) COMPLETION OF PATENT APPLICATION

**BOX PCT** 

Assistant Commissioner for Patents Washington, D.C. 20231

SIR:

This letter is submitted with attachments in response to a Notification of Missing Requirements. Applicants undersigned attorney has called the PTO on a number of occasions to determine whether the attached Notice is a duplicate of an earlier one received in Applicants attorney's office on or about October 5, 2001. Both letters have the same mailing date and the same confirmation number but the enclosed Notice was received on December 13, 2001.

Also attached is a copy of Applicants response to the initial Notice along with the return receipt postcard showing that the PTO received Applicant's response on or about October 12, 2001.

RECEIVED
TC 1700

Applicant's attorneys phoned the PTO and specifically asked for Ms. India L. Evans and although Ms. Evans has returned the calls, she was waiting return of the file before she could answer Applicant's attorney's question as to whether the PTO inadvertently mailed a duplicate Notice which appears to be the case.

This submission is being made only in the exercise of caution to avoid any question of whether there had been a response to the original Notice of Missing Requirements.

> Respectfully submitted, COHEN, PONTANI, LIEBERMAN & PAVANE

Vincent M. Fazzari, Reg. No. 26,879

551 Fifth Avenue

New York, New York 10176

(212) 687-2770

Dated: 13 February 2002

38P1



#### United States Patent and Trademark Office

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United States Patient and Tradomaris Office
Washington, D.C. 2023
Westurgton, D.C. 2023

		A 777	Y. DOCKET NO.
U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT		
09/913,008	Crystian Terfloth	#EL4	189599575US 4 <b>93</b> 0-
Vincent M Fazzari Cohen Pontani Lieberman & Pavane		INTERNATIONAL AP	PLICATION NO.
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	Provide the second seco	I.A. FILING DATE	PRIORITY DATE
		02/10/2000	02/11/1999
551 Fifth Avenue	LLU 1 5 2001		
Suite 1210		CONFIRM	IATION NO. 2033
New York, NY 10176	فسيرف ويراد والمواج والمنسان يموي	371 FORMALITIES	
	he he have been the territories have		
		*OC000000006829376*	

Date Mailed: 10/02/2001

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- · Copy of IPE Report
- · Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Preliminary Amendments
- Request for Immediate Examination

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application
  by the International application number and international filing date. The current oath or declaration does
  not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

INDIA L EVANS

Telephone: (703) 305-2936

### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
09/913,008	PCT/DE00/00384	#EL489599575US

4080-38PUS VMF/ODV 12 October 2001 In re National Phase PCT Application of Christian TERFLOTH et al. Filed: August 08, 2001 Serial No.: 09/913,008 Multicomponent Coating and Adhesive Material By Express Mail No. EL798797237US with the following enclosures:

- Cover Letter (Due: December 2, 2001)
- Executed Declaration and Power of Attorney
- Check for \$130.00 for late filing of the Declaration
- Assignment
- Recordation cover sheet PTO Form 1595 5.
- Check for \$40.00 to cover the recordation fee
- 7. Copy of form PCT/DOEO/905
- Return receipt postcard

JC10 Rec'd PCT/PTO 1 2 OCT 2001

#### Attorney Docket # 4080-38PUS

Patent

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re National Phase PCT Application of

Christian TERFLOTH et al.

Serial No.:

09/913,008

Filed:

August 08, 2001

For:

Multicomponent Coating and Adhesive

Material

## RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE U.S. DESIGNATED/ELECTED OFFICE (DO/EO/US)

#### **BOX PCT**

Assistant Commissioner for Patents Washington, D.C. 20231

SIR:

In response to the Notification of Missing Requirements dated October 2, 2001,

applicants submits herewith the following:

- [x] Executed Declaration and Power of Attorney
- [x] Check in the amount of \$130.00 to cover the late filing of the declaration
- [x] Assignment of the invention to Jowat Lobers und Frank GmbH & Co. KG
- [x] Recordation cover sheet PTO Form 1595
- [x] Check in the amount of \$40.00 to cover the recordation fee
- [] Verified translation of the application into English (a processing fee is required if filed later than 20 or 30 months from the priority date).
- [] Check in the amount of \$130.00 to cover the processing fee for providing the translation of the application.
- Revised Drawing as requested.
- [x] Copy of form PCT/DOEO/905

According to our records, we have not yet received form PCT/DOE0905.

If there are any additional fees that may be required at this time, the same may also be charged to Deposit Account No. 03-2412.

Respectfully submitted, COHEN, PONTANI, LIEBERMAN & PAVANE

Bv:

Dated: 12 October 2001

Vincent M. Fazzar

Reg. No. 26,879

551 Fifth Avenue

New York, New York 10176

(212) 687-2770

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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT		ATTY.	DOCKET NO.	- 30 1 <sup>1</sup>
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			PCT/DE00/0	00384	
		I.A. FILI	NG DATE	PRIORITY DATE	) }
Vincent M Fazzari		02/10	)/2000	02/11/1999	
Cohen Pontani Lieberman & Pavane 551 Fifth Avenue Suite 1210 New York, NY 10176	2 Dec 01	371 FORM	IALITIES L	ATION NO. 2033 ETTER	

Date Mailed: 10/02/2001

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COHEN, PONTANI, LIEBERMAN & FAVANI

OCT 5 2001

RECEIVED

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  declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
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ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY

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#### INDIA L EVANS

Telephone: (703) 305-2936

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO	ATTY, DOCKET NO.
09/913,008	PCT/DE00/00384	#EL489599575US

Attorney's Docket No. 4080-38PUS

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

#### MULTICOMPONENT COATING AND ADHESIVE MATERIAL

the specification of which (check only one item below)

[] is attached hereto

[] was filed as United States application

Serial No.

On \_

And was amended

On \_ (if applicable).

[x] was filed as PCT international application

Number PCT/DE00/00384

On February 10, 2000

And was amended under PCT Article 19

On \_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

Lacknowledge the duty to disclose information which is material to the patentability of the application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

#### PRIOR FOREIGN/PCT APPLICATIONS AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:

Country (if PCT, indicate "PCT")	Application Number	Date of Filing (day, month, year)		Claimed U.S.C. 119
Germany	199 05 907.1	February 11, 1999	[x] YES	[] NO
PCT	PCT/DE00/00384	February 10, 2000	[x] YES	[] NO
			[] YES	[] NO
			[] YES	[] NO
			[] YES	[] NO
·			[] YES	[] NO
			[] YES	[] NO

Combined Declaration for Patent Application and Power of Attorney (Continued) (Includes Reference to PCT International Applications)

Attorney's Docket No. 4080-38PUS

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

### PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120:

	U.S. APPLICATIONS		STATUS (check one)		
U.S. APPLICA	TION NUMBER	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
PCT APPL	ICATIONS DESIGNAT	TING THE U.S.			
PCT APPLICATION NO.	PCT FILING DATE	U.S. SERIAL NUMBERS ASSIGNED (if any)			
PCT/DE00/00384	February 10, 2000			х	

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (List name and registration number)

MYRON COHEN, Reg. No. 17,358; THOMAS C. PONTANI, Reg. No. 29,763; LANCE J. LIEBERMAN, Reg. No. 28,437; MARTIN B. PAVANE, Reg. No. 28,337; MICHAEL C. STUART, Reg. No. 35,698; KLAUS P. STOFFEL, Reg. No. 31,668; EDWARD M. WEISZ, Reg. No. 37,257; JULIA S. KIM, Reg. No. 36,567; VINCENT M. FAZZARI, Reg. No. 26,879; ALFRED W. FROEBRICH, Reg. No. 38,887; KENT H. CHENG, Reg. No. 33,849; ROGER S. THOMPSON, Reg. No. 29,594; GEORGE J. BRANDT, JR., Reg. No. 22,021; F. BRICE FALLER, Reg. No. 29,532 and YUNLING REN, Reg. No. 47,019, WILLIAM A. ALPER.

Ser	Note that the value of the valu	ieberman & Pavane Suite 1210		Direct Telephone calls to: (name and telephone number) Vincent M. Fazzari (212) 687-2770
	FULL NAME OF INVENTOR	FAMILY NAME TERFLOTH	FIRST GIVEN NAME Christian	SECOND GIVEN NAME
0	RESIDENCE, CITIZENSHIP	CITY Detmond	STATE OR FOREIGN COUNTRY Germany	COUNTRY OF CITIZENSHIP Germany
1	POST OFFICE ADDRESS	POST OFFICE ADDRESS Uhlenburgstrasse 5	CITY Detmold	STATE & ZIP CODE/COUNTRY Germany 32760
	FULL NAME OF INVENTOR	FAMILY NAME HIPPOLD	FIRST GIVEN NAME Theodor	SECOND GIVEN NAME
$\begin{vmatrix} 2\\0\\2 \end{vmatrix}$	RESIDENCE, CITIZENSHIP	CITY Bad Salzuflen	STATE OR FOREIGN COUNTRY Germany	COUNTRY OF CITIZENSHIP Germany
~	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY

Germany D-32108

Bad Salzuflen

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	mbined Declaration to cludes Reference to PC				y (Continued)		Attorney's Docket No. 4080-38PUS
	FULL NAME OF INVENTOR	FAMILY N	AME	FIRST GIVEN N	AME	SEC	OND GIVEN NAME
2	RESIDENCE. CITIZENSHIP	CITY		STATE OR FORE	GIGN COUNTRY	cou	NTRY OF CITIZENSHIP
3	POST OFFICE ADDRESS	POST OFFI	CE ADDRESS	CITY		STAT	TE & ZIP CODE/COUNTRY
False State	rmation and belief are e statements and the lik es Code and that such	believed to te so made willful falso	be true; and fur are punishable b	ther that these stat y fine or imprison	ements were ma	ide with t inder §10	all statements made on he knowledge that willful 001 of Title 18 of the United r any patent issuing thereon.
SIG	ATURPOF INVENTOR 20		SIGNATURE OF		SIGN	ATURE O	F INVENTOR 203
DAT	E/73 9 01		DATE		DAT	E	

Form PTO 1595 (Rev. 6-93) OMB No. 0651-0011 (exp. 4/94)

### RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office
Attorney Docket 4080-38PUS

DATERITE ONLY

PAIENI	S UNLY Attorney Docket 4080-38PUS
To the Honorable Commissioner of Patents and Trademarks	: Please record the attached original documents or copy thereof.
Name of conveying party(ies):     Christian TERFLOTH	2. Name and address of receiving party(ies):
Theodor HIPPOLD	Name: Jowat Lobers und Frank GmbH & Co. KG
	Internal Address:
	Street Address: Ernst-Hilker-Straße 10-14
	City: <u>Detmold</u>
	State/Country: <u>Germany</u>
Additional name(s) of conveying party(ies) attached? [ ] Yes [x] No	Zip: <u>32758</u>
3. Nature of conveyance:	Additional name(s) & address(es) attached? [] Yes [] No
[x] Assignment [] Merger	
[] Security Agreement [] Change of Name	
[] Other	
Execution Date: 9/14/01 and 9/14/01	
4. Application number(s) or patent number(s):	
If this document is being filed together with a new appl	ication, the execution date of the application is:
A. Patent Application No.(s) 09/913,008	B. Patent No.(s) n/a
Additional numbers a	ttached? [] Yes [x] No
<ol><li>Name and address of party to whom correspondence concerning document should be mailed:</li></ol>	6. Total number of applications and patents involved:
Name: Vincent M. Fazzari	7. 7. 1 ( (07.050.0.11)
Internal Address: Cohen, Pontani, Lieberman & Pavane	7. Total fee (37 CFR 3.41):\$40.00
Street Address: 551 Fifth Avenue, 12th Floor	<ul><li>[x] Enclosed</li><li>[x] Authorized to be charged to deposit account</li></ul>
City: New York State: New York Zip: 10176	8. Deposit account number: 03-2412 (Attach duplicate copy of this page if paying by deposit account)
DO NOT US	EE THIS SPACE
	in this state
9. Statement and signature.  To the best of my knowledge and belief, the foregoing	information is true and correct and any attached copy is a
true copy of the original document.	
Vincent M. Fazzari Name of Person Signing	October 12, 2001
Reg. No. 26,879 Signature	Date
Total number of pages including cover	sheet, attachments, and document: 3

#### ASSIGNMENT

In consideration of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, We the undersigned, Christian TERFLOTH, Theodor HIPPOLD (hereinafter referred to as "ASSIGNOR"):

Hereby sell, assign and transfer to Jowat Lobers und Frank GmbH & Co. KG, (hereinafter referred to as "ASSIGNEE"), a corporation of Germany, having a place of business at Ernst-Hilker-Straße 10-14, 32758 Detmold, Germany, its successors, assigns and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent, which has been executed by the undersigned concurrently herewith, and is entitled

#### MULTICOMPONENT COATING AND ADHESIVE MATERIAL

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements;

Agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name, and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements;

execute all rightful oaths, assignments, powers of attorney and other papers, communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: 05/17/2001 month/day/year	Christian TERFLOTH
	Witness
	Witness
Date: OS 1912001 month/day/year	Theodor HIPPOLD!
	Witness
	Witness

WE

Commission for France, Star FCT, Updard States Prices and Trademics Office, Phasinspain, S.C. 2022s, overs make				
U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT			DOCKET NO.
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551 Fifth Avenue Suite 1210 New York, NY 10176	2 Dec 01 -	371 FORMA	LITIES L	ATION NO. 2033 ETTER

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